

I believe I am the original, first and sole inventor (if only one name is listed at 201 below) or an original, first and joint inventor (if plural names are listed at 201-203 below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:



40.00-581-D

PATENT Docket No. 40678

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Patent

## Application

Patent No. \_\_\_\_\_ Serial No. 07 / 792,482

Issue Date: \_\_\_\_\_ Filing Date: November 15, 1991

- ☐ Also see attached list of patents and/or applications covered by assignment

## Box Assignment

Commissioner of Patents and Trademarks

Washington, D.C. 20231

NOTE: "All assignments should be mailed to BOX ASSIGNMENTS unless they are filed concurrently with a new application." Notice of May 4, 1990 (1114 O.G. 77-78)

## ASSIGNMENT (DOCUMENT) COVER LETTER

NOTE: "Each assignment should be accompanied by a separate cover letter. More than one application, patent, or registration can be identified in an assignment." Notice of May 4, 1990 (1114 O.G. 77-78).

## CERTIFICATION OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

(check and complete appropriate item below):

☒ 37 CFR 1.8(a)  
with sufficient postage  
as first class mail

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No. \_\_\_\_\_

Deanna M. Leverone

(Type or print name of person mailing paper)

Date 12/20/91

Deanna M. Leverone

(Signature of person mailing paper)

(Assignment Cover Letter [16-11]—page 1 of 4)

080 BF 01/06/92 07792482

1 581

40.00 CK

00924045.080701

REF 5951 FRAME 944

21605429

IDENTIFICATION OF APPLICATION(S) AND/OR  
PATENT(S) COVERED BY ASSIGNMENT

1. The accompanying assignment covers the patent or application identified above.

(Complete applicable item below)

- ☒ No other patents or applications are covered by the assignment
- ☐ Other patents and/or applications are covered by the assignment as shown on the attached LIST OF PATENTS AND/OR APPLICATIONS COVERED BY ASSIGNMENT

NAME(S) OF PARTY(IES) MAKING TRANSFER

2.

Name 1: James W. Thackeray

Name 2: George W. Orsula

Name 3:

IDENTITY OF PARTY(IES) TO WHOM TRANSFER IS MADE

3. Transfer is being made to:

Name: Shipley Company Inc.

Address: 2300 Washington Street  
Newton, MA 02162

INTENTION OF DOCUMENT

NOTE: The assignment cover letter should include a "brief description of what the document intends to accomplish (such as an assignment, a security agreement, or a license). Notice of May 4, 1990 (1114 O.G. 77-78).

4. The accompanying document is an:

- ☒ Assignment
- ☐ Security Agreement
- ☐ License
- ☐ Other

FEE DUE

NOTE: (37 CFR 1.21(h)) - "For recording each assignment, agreement or other paper relating to the property in a patent or application per property —\$8.00

Total fee due \$ 40.00

(Assignment Cover Letter [16-11]—page 2 of 4)

09924045-080701

REF 5951 FRAM 945

## FEE PAYMENT

6. Charge Account \_\_\_\_\_  
the sum of \$ \_\_\_\_\_

A duplicate of this Assignment Cover Letter is enclosed.

☒ Enclosed is a check for \$ 40.00

Please charge Account 04-1105 for any deficiency in the assignment fee submitted herewith or credit this account for any overpayment.

ADDRESS TO WHICH DOCUMENT SHOULD BE RETURNED AFTER RECORDAL BY  
PTO

7. Please return the recorded document to:

Name: Robert L. Goldberg  
Address: 130 Water Street  
Boston, MA 02109

Reg. No. 22456

Tel. No. (617) 244-4990

  
SIGNATURE OF ATTORNEY

Robert L. Goldberg  
Type or print name of attorney  
130 Water Street

P.O. Address  
Boston, MA 02109

☒ Plus LIST OF PATENTS AND/OR APPLICATIONS COVERED BY ASSIGNMENT

LIST OF PATENTS AND/OR APPLICATIONS COVERED BY ASSIGNMENT

☒ In re application of:

Serial No.: 07/ 792,482

Group No. Not Yet Assigned

Filed: 11/15/91

Examiner: Not Yet Assigned

For: ANTIHALATION COMPOSITIONS

☐ Patent:

Issued: \_\_\_\_\_

☐ In re application of:

Serial No.: 0 /

Group No.

Filed:

Examiner:

For:

☐ Patent:

Issued: \_\_\_\_\_

☐ In re application of:

Serial No.: 0 /

Group No.

Filed:

Examiner:

0924045-080701

REF 5951 FRATE 946

For:

☐ Patent:

☐ In re application of:

Serial No.: 0 /

Filed:

For:

☐ Patent:

☐ In re application :

Serial No.: 0 /

Filed:

For:

☐ Patent:

Issued:

Group No.

Examiner:

Issued:

Group No.

Examiner:

Issued:

*(use additional pages as required)*

Additional page

(Assignment Cover Letter [16-11]—page 4 of 4)

099244045-080701

REF 5951 FRAME 947

A S S I G N M E N T

We, James W. Thackeray of Braintree, Massachusetts,  
and George W. Orsula of Avon, Massachusetts,  
for good and valuable consideration from Shibley Company Inc.,  
a Massachusetts corporation, having its principal place  
of business in Newton, Massachusetts, hereinafter called  
the Assignee, receipt of which is hereby acknowledged, do hereby  
sell, assign and transfer unto the Assignee, its successors and  
assigns, the entire right, title and interest in, to and under an  
application for Letters Patent of the United States signed by us on  
the dates indicated below for Improvements in \_\_\_\_\_

ANTIHALATION COMPOSITIONS

and the inventions and any of them therein set forth and described,  
and any and all Letters Patent of the United States and of countries  
foreign thereto which may be granted thereon or therefor;

And for the above consideration we agree promptly upon request of  
the Assignee, its successors or assigns, to execute and deliver  
without further compensation any power of attorney, assignment,  
application, whether original, divisional, continuation or reissue,  
or other papers which may be necessary or desirable fully to secure  
to the Assignee, its successors and assigns, the inventions and any  
of them described in said application and all patent rights therein,  
in the United States and in any country foreign thereto.

IN WITNESS WHEREOF, I, James W. Thackeray, hereunto set  
my hand and seal this \_\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_,  
and I, George W. Orsula, hereunto set my hand and seal this  
day of \_\_\_\_\_, 19\_\_\_\_.

RECORDED  
PATENT AND TRADEMARK  
OFFICE

James W. Thackeray  
James W. Thackeray

George W. Orsula  
George W. Orsula

Commonwealth  
of Massachusetts :  
County of Middlesex : ss.

DEC 23 1991

Before me this 11<sup>th</sup> day of December, 1991, personally  
appeared James W. Thackeray, who is to me personally known,  
and acknowledged the foregoing instrument of assignment to be his  
free act and deed.

Anna L. Scamman  
Notary Public

Commonwealth  
of Massachusetts :  
County of Middlesex : ss.

Before me this 11<sup>th</sup> day of December, 1991, personally  
appeared George W. Orsula, who is to me personally known,  
and acknowledged the foregoing instrument of assignment to be his  
free act and deed.

Anna L. Scamman  
Notary Public

09924045-080701

REEL 5951 FRAME 948

Jc839 U.S. PTO  
09/924045  
08/07/01

DATE: 02/10/92

TO:

ROBERT L. GOLDBERG

DIKE, BRONSTEIN, ROBERTS & CUSHMAN

130 WATER ST.

BOSTON, MA 02109

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE U.S. PATENT AND TRADEMARK OFFICE ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT ASSIGNMENT PROCESSING SYSTEM. IF YOU SHOULD FIND ANY ERRORS, ON THIS NOTICE, PLEASE SEND A REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT BRANCH, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231

ASSIGNOR:

THACKERAY, JAMES W.

DOC DATE: 12/11/91

**ASSIGNOR:**

ORSULA, GEORGE W.

DOC DATE: 12/11/91

RECORDATION DATE: 12/23/91      NUMBER OF PAGES 005      REEL/FRAME 5951/0944

# DIGEST : ASSIGNMENT OF ASSIGNORS INTEREST

**ASSIGNEE:**

SHIPLEY COMPANY INC.

A MA CORPORATION

**NEWTON, MASSACHUSETTS**

SERIAL NUMBER 7-792482

FILING DATE 11/15/91

PATENT NUMBER

ISSUE DATE 00/00/00

20030000

Feb 21 1992

SECRET